

FORM PTO 1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER

825-160

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/031919

INTERNATIONAL APPLICATION NO.
PCT/CH00/00399

INTERNATIONAL FILING DATE
07/20/2000

PRIORITY DATE CLAIMED
07/22/1999

TITLE OF INVENTION
PLASTIC SCREW CAP

APPLICANT(S) FOR DO/EO/US
WILHELM WAZEL and ROBERT ARMIN GILGEN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
 - ☐ Applicant claims small entity status.
 - ☒ Supplement to Transmittal Letter.

U.S. APPLICATION NO. (if known, see 37 CFR

10/031919

INTERNATIONAL APPLICATION NO.
PCT/CH00/00399NEY'S DOCKET NUMBER
825-160

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL-POST OFFICE TO ADDRESSEE, in an envelope addressed to: BOX PCT, COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 on the 21st day of January, 2002. Express Mail Label EL 812734028US.

GARY A. ESSMANN

29,376

Name

Reg. No.

Signature

Date

1-21-02

2001 JAN 22 10 03 AM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:)
)
WILHELM WAZEL and) PLASTIC SCREW CAP
ROBERT ARMIN GILGEN)

SUPPLEMENT TO TRANSMITTAL LETTER

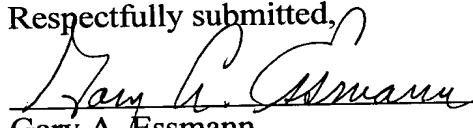
Milwaukee, Wisconsin 53202

Box Patent Application
Asst. Commissioner for Patents
Washington, D.C. 20231

Sir:

Entry of this application into the National Phase of the United States is pursuant to 37 C.F.R. §1.494(c)/1.495(c). The papers include an English language specification and claims, and the drawings. The oath or declaration of the applicant is not included. The declaration and fee will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

Respectfully submitted,



Gary A. Essmann
(Reg. No. 29,376)

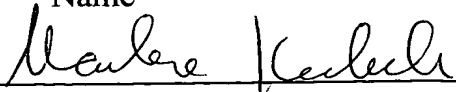
ANDRUS, SCEALES, STARKE & SAWALL, LLP
100 East Wisconsin Avenue, Suite 1100
Milwaukee, Wisconsin 53202
(414) 271-7590
Atty. Docket No. 825-160

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Marlene Kubiak

Name



Signature

January 21, 2002

Date